

## FACTS

### WHAT DOES FORT PITT CAPITAL GROUP LLC DO WITH YOUR PERSONAL INFORMATION?

#### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

#### What?

During the normal course of business, Fort Pitt Capital Group LLC collects certain personally identifiable financial information about its customers to ensure that it offers the highest quality financial services and products. This information can include:

- Social Security number
- Information we receive from you on applications or other forms
- Account balances, retirement assets and transaction history
- Employment information including income
- Investment experience and risk tolerance
- Information collected through an internet “cookie” (information collecting device from a web server)
- Information we receive from a consumer reporting agency

Even when you are *no longer* our customer, we will continue to share your information *only* as described in this notice.

#### How?

All financial companies need to share **customers’** personal information to run their everyday business. In the section below, we list the reasons financial companies can share their **customers’** personal information; the reasons Fort Pitt Capital Group LLC chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information.	Does Fort Pitt Capital Group LLC share?	Can you limit this sharing?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you	Yes	No
<b>For joint marketing with other financial companies</b>	No	We don’t share
<b>For our affiliates’ everyday business purposes—</b> information about your transactions and experiences	Yes	No
<b>For our affiliates’ everyday business purposes—</b> information about your creditworthiness	No	We don’t share
<b>For nonaffiliates to market to you</b>	No	We don’t share

#### Questions?

Call 412-921-1822 or go to [www.fortpittcapital.com](http://www.fortpittcapital.com)

Who we are.	
Who is providing this notice?	Fort Pitt Capital Group LLC
What we do.	
How does Fort Pitt Capital Group LLC protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. Fort Pitt Capital Group LLC also restricts access to your personal information to those employees who need to know that information to provide services to you.
How does Fort Pitt Capital Group LLC collect my personal information?	<p>We collect your personal information, for example, when you</p> <ul style="list-style-type: none"> <li>■ Open an account or give us your income information</li> <li>■ Tell us about your investment or retirement portfolio</li> <li>■ Make deposits or withdrawals from your account</li> <li>■ Seek advice about your investments</li> <li>■ Enter into an investment advisory contract</li> </ul> <p>We also collect your personal information from other companies, such as the custodians that hold your account assets.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to limit only</p> <ul style="list-style-type: none"> <li>■ Sharing for affiliates' everyday business purposes—information about your creditworthiness</li> <li>■ Affiliates from using your information to market to you</li> <li>■ Sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
<b>Affiliates</b>	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>■ <i>Our affiliates include Focus Operating, LLC and Focus Client Solutions, LLC.</i></li> </ul>
<b>Nonaffiliates</b>	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>■ <i>We may share collected information with certain nonaffiliated third parties such as attorneys, accountants, auditors, and persons/entities that are assessing our compliance with industry standards; however, Fort Pitt Capital Group LLC does not share with nonaffiliates so they can market to you. Contractual agreements with all nonaffiliated third parties prohibit them from disclosing or using the information other than to carry out the purposes for which the information is disclosed.</i></li> </ul>
<b>Joint marketing</b>	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>■ <i>Fort Pitt Capital Group LLC does not jointly market.</i></li> </ul>